

Risks

Risks

00001 The risk of a serious safeguarding error where the council has responsibility, resulting in serious harm or death

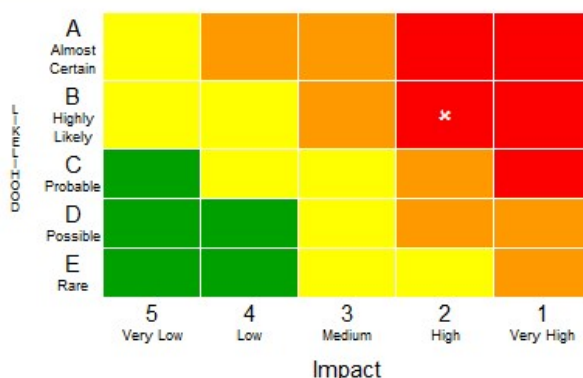
Description

This risk - concerning children and vulnerable adults - is increasing as the environment is changing, with growing expectations around our duties in relation to 3rd party provision. The cumulative impact of reducing resources across the public sector may impact agencies' ability to appropriately recognise safeguarding risks which may also create extra pressures for the Local Authority.

Impact / Consequences

1. Significant reputational loss.
2. Possible intervention by Welsh Government.
3. Legal/compensation costs.

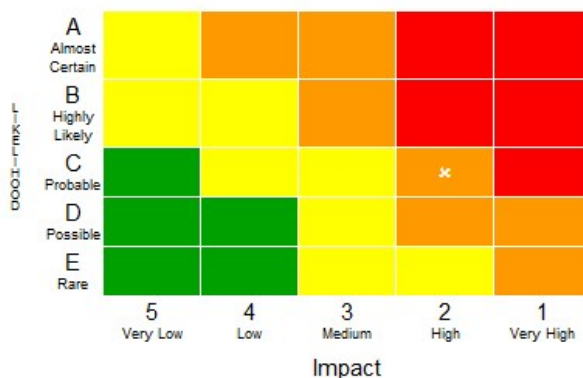
Inherent Risk



Controls to Manage Risk (in place)

1. Child protection & Safeguarding Procedures.
2. Regular training of staff in Children & Family Services.
3. Corporate Safeguarding Training Programme.
4. Wales Interim Policy & Procedures for the Protection of Vulnerable Adults from Abuse.
5. Framework of self-assessment for schools in relation to safeguarding has been established.
6. Section 28 Audits, and annual reporting requirement for services to demonstrate how they are discharging their duties in relation to safeguarding.
7. Section 28 audit tool in place for voluntary sector to ensure safeguarding practices are in place.
8. Compliance with safeguarding practises is part of the annual HR audit of schools.
9. New regional arrangements for safeguarding a) children and b) vulnerable adults are in place. The regional safeguarding boards will set priorities and actions regionally, eg training and policies & procedures.
10. Corporate Training rolled out
11. Middle Managers Conference focussing on Safeguarding, November 2014
12. Risk assessments in place for recruiting staff who require a DBS check and/or references
13. Safeguarding policy review has taken place with Schools and new guidance has been developed

Residual Risk



Further Actions

00049 Implement Actions arising from Audit Reports

Action Due Date

31/03/2016

Person Responsible

Nicola Stubbins & Leighton Rees

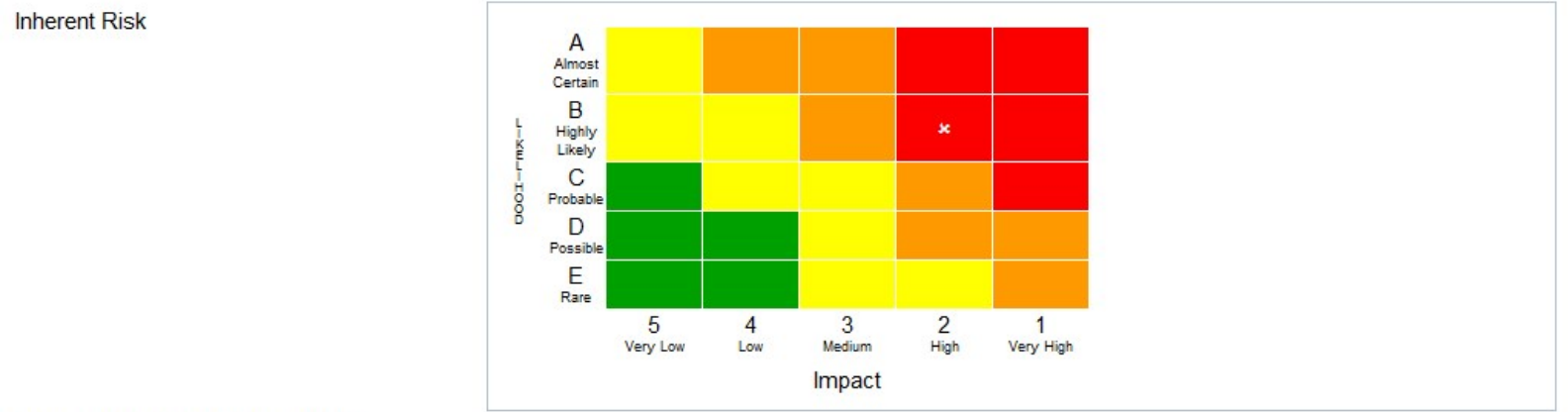
00050 Task and Finish Group to be established to consider local risks associated with Child Sexual Exploitation, and lessons learned from Rotherham and elsewhere

Action Due Date	30/06/2015
Person Responsible	Nicola Stubbins
Lead Member(s)	Councillor Bobby Feeley
Active	Yes
Risk Owner	Nicola Stubbins

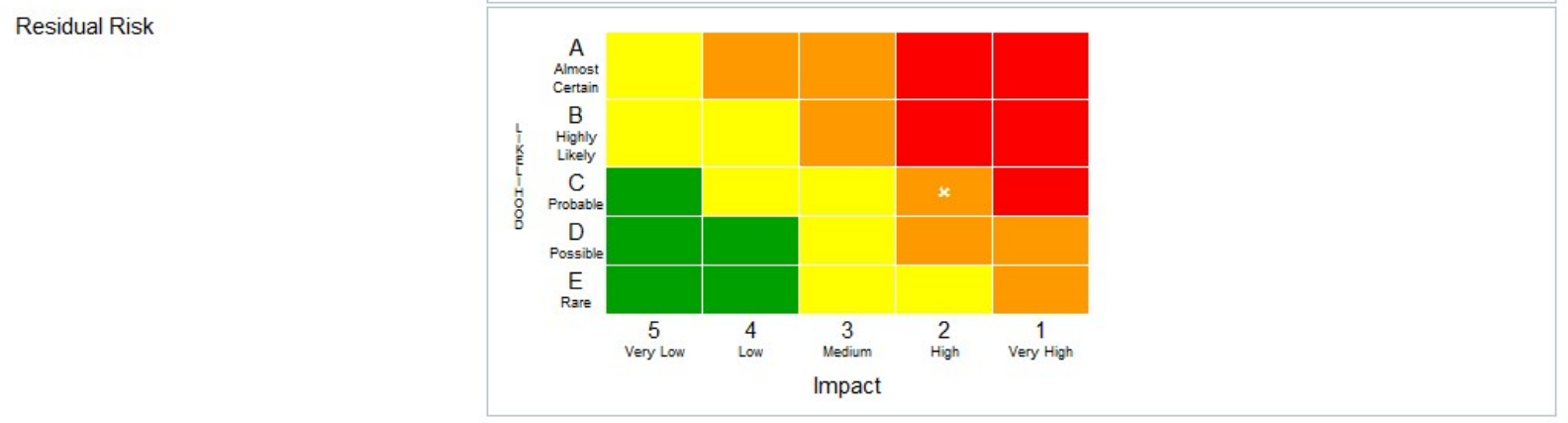
00004 The risk that the HR framework doesn't support the organisation's aims.

Description
 The policies and procedures we have in place need to reflect current organisational strategy (e.g. flexible working), and HR needs to offer support for those functions where the organisation requires it (e.g. restructures)

Impact / Consequences
 The council is unable to deliver the associated savings incorporated into the MTFP through the Modernisation priority if our policies don't support proposed strategy. Organisational functions such as restructures might be slow to progress or even violate employment law if our managers are not effectively supported



- Controls to Manage Risk (in place)**
1. A CRM in place in HR Direct has enhanced efficiency and provided improved management information to identify areas of common enquiry.
 2. Middle Managers have been consulted regarding their experience of the service, and further improvements are outlined in the service's Improvement Plan.
 3. Workforce Planning is now embedded and the service is happy with its development.
 4. Although the transactional element of HR is being addressed with apparent success, there is still work to do to ensure that the strategic framework supports the organisation's aims.

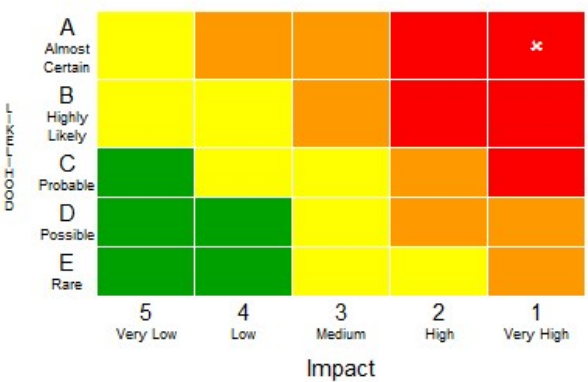
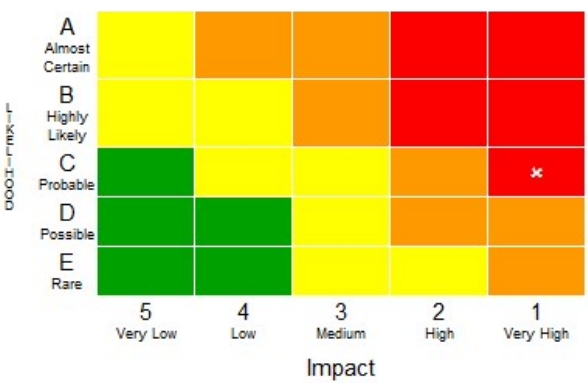


Further Actions

Lead Member(s)	Councillor Barbara Smith
Active	Yes
Risk Owner	Rebecca Maxwell

00006 The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income.

Description
 The latest settlement was equal to the lowest anticipated, and the next one will probably also be very low, affecting our ability to effectively plan for the medium term.

<p>Impact / Consequences</p> <p>Inherent Risk</p> <p>Controls to Manage Risk (in place)</p> <p>Residual Risk</p>	<p>The council suffers from a significant reduction in income, leading to an inability to deliver current levels of service provision.</p>  <p>1. The council has no control over the global economy or the WG settlement. Therefore the inherent risk score likely to remain high.</p> <p>2. Annual, detailed budget setting process that considers economic environment</p> <p>3. The Medium Term Financial Plan (MTFP) contains different scenarios to ensure it can deal with changes in the external environment, and is considered on a quarterly basis: it has revised its expectations further downwards.</p> <p>4. Communication channels opened with BCUHB. Budget-setting process and Modernisation Board's activities raise awareness of implications of significantly reduced income due to the economic environment.</p> <p>5. Quarterly financial planning meetings between services and management accountants are in place</p> <p>6. Service's budgets are scrutinised by the Lead Member for Finance and the Head of Service during budget-setting talks.</p> 
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Further Actions

00026 Freedom & Flexibilities

Description	New budget process (Freedom & Flexibilities) developed for 2015/16 and beyond based around the Chief Executive's 'Sharpening our Act' strategy. Currently being piloted and will be implemented over the summer.
Action Due Date	30/06/2014
Person Responsible	Paul McGrady
Lead Member(s)	Councillor Julian Thompson-Hill
Active	Yes
Risk Owner	Mohammed Mehmet & Julian Thompson-Hill

00007 The risk that critical or confidential information is lost or disclosed.

Impact / Consequences	<ol style="list-style-type: none"> 1. Reputational damage. 2. Criticism from external regulators (e.g. WAO conducting a review of information management across Welsh Authorities in 2012). 3. Fines from the Information Commissioner's Office (precedent of fines of up to £130k for single breach of Data Protection Act).
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Inherent Risk	
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Controls to Manage Risk (in place)	<ol style="list-style-type: none"> 1. The council has PSN accreditation 2. Retention schedules and file plans are incorporated into all EDRMS implementations. 3. Staff workshops delivered on good archiving practices. 4. Printer process now supports staff to collect printing when visiting the printer. 5. EDRMS project being rolled out. 6. Addresses are double-checked by a second member of staff when sending out sensitive information. 7. Information strategy in place. 8. Strategic Information Risk Officer in place who investigates breaches and creates and action plan for prevention after each one. 9. Enforcing the encryption of any removal media used to download information from our network. 10. Introduction of secure e-mail: Egress 11. Data Protection elearning completed by all office-based staff across the Council (currently at 91% completion rate) 12. Refresh of intranet pages 13. Privacy Impact Assessments introduced for council projects that involve processing of personal data 14. File amnesty day introduced
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Residual Risk	
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Further Actions

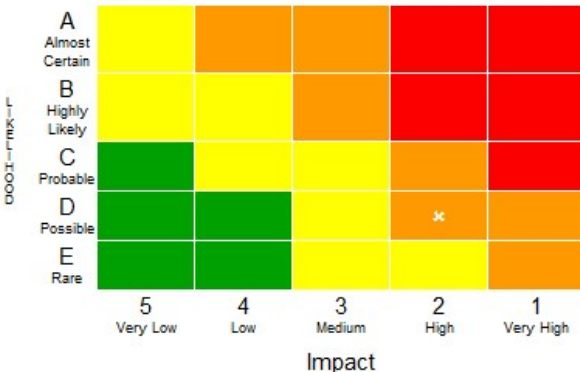
00027 Information security policy in place

Description	New information security policy
Action Due Date	31/10/2014
Person Responsible	Alan Smith

00052 E-learning package in place and rolled out

Action Due Date	30/09/2015
Person Responsible	Alan Smith
Lead Member(s)	Councillor Barbara Smith
Active	Yes
Risk Owner	Hywyn Williams

00011 The risk of an ineffective response to a severe weather, contamination, or public health event.

Description	Services plan for the impact of expected seasonal variations in weather, but severe weather events can impact on service delivery. Similarly, we put plans in place to monitor food, water and air quality, but any contaminations can impact on service delivery, as would any viral pandemics.																																				
Impact / Consequences	<ol style="list-style-type: none"> 1. Significant disruption to core services. 2. Serious injury or fatality due to road network closure, poisoning or infection. 3. Reputational risk to the council if unable to deal with issues. 																																				
Inherent Risk	 <p>The risk matrix shows a risk level of 2 (High) at the intersection of 'Almost Certain' (A) and 'High' (2) impact. The matrix is a 5x5 grid with impact on the x-axis (5 to 1) and likelihood on the y-axis (A to E).</p> <table border="1"> <tr> <td>A</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>B</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>C</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>D</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>E</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td></td> <td>Very Low</td> <td>Low</td> <td>Medium</td> <td>High</td> <td>Very High</td> </tr> </table>	A	5	4	3	2	1	B	5	4	3	2	1	C	5	4	3	2	1	D	5	4	3	2	1	E	5	4	3	2	1		Very Low	Low	Medium	High	Very High
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Controls to Manage Risk (in place)	<ol style="list-style-type: none"> 1. The control environment in this area is the joint Emergency Planning Unit (Denbighshire & Flintshire), and local emergency management response groups have been established. 2. We also continually review our procedures for winter highways maintenance. Secondary rota established and operational. 3. Service disruption is minimised through our arrangements for business continuity and emergency planning, with separate Directors responsible for Response, Recovery, and Information 4. There's an on-call rota in place for CET. 																																				
Residual Risk	 <p>The risk matrix shows a risk level of 2 (High) at the intersection of 'Almost Certain' (A) and 'High' (2) impact. The matrix is a 5x5 grid with impact on the x-axis (5 to 1) and likelihood on the y-axis (A to E).</p> <table border="1"> <tr> <td>A</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>B</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>C</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>D</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td>E</td> <td>5</td> <td>4</td> <td>3</td> <td>2</td> <td>1</td> </tr> <tr> <td></td> <td>Very Low</td> <td>Low</td> <td>Medium</td> <td>High</td> <td>Very High</td> </tr> </table>	A	5	4	3	2	1	B	5	4	3	2	1	C	5	4	3	2	1	D	5	4	3	2	1	E	5	4	3	2	1		Very Low	Low	Medium	High	Very High
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Further Actions																																					
00031 Vulnerable People mapping project																																					
Action Due Date	31/03/2016																																				
Person Responsible	Phil Gilroy																																				
00032 Major incident handbook to be finalised																																					
Action Due Date	30/06/2014																																				
Person Responsible	Rebecca Maxwell																																				
00053 Emergency Response Planning report to be presented to Partnerships Scrutiny																																					
Action Due Date	30/06/2015																																				
Person Responsible	Rebecca Maxwell																																				
Lead Member(s)	Councillor David Smith																																				
Active	Yes																																				
Risk Owner	Rebecca Maxwell																																				

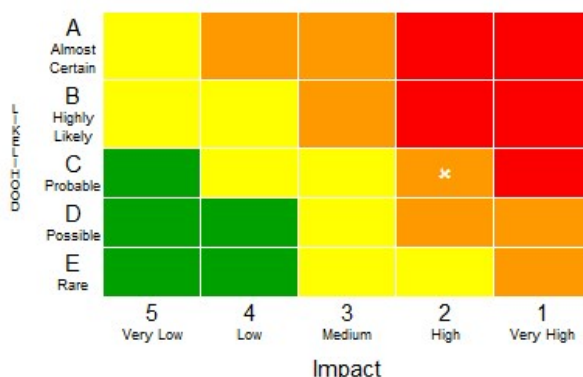
00012 The risk of a significantly negative report(s) from external regulators

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Impact / Consequences

1. Reputational damage.
2. Potential intervention by the WG.
3. Significant resources may be required to be diverted to deliver immediate and substantial change.
4. Regulation we're subject to includes: CSSIW (Care and Social services Inspectorate Wales); WAO Office; Estyn; HSE (Health & Safety Executive); ICO (Information Commissioner's Office)

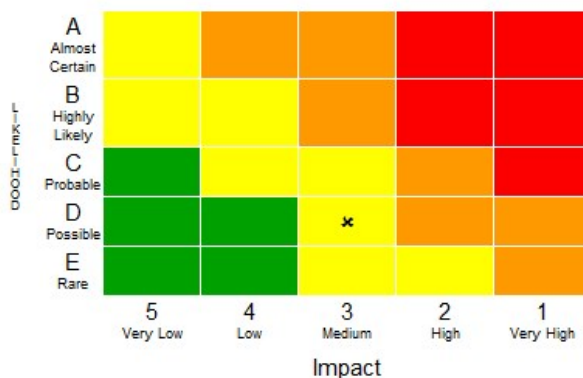
Inherent Risk



Controls to Manage Risk (in place)

1. The corporate performance management framework (PMF) is the main control in this area.
2. Head of Business Improvement & Modernisation, Strategic Planning Team Manager and Head of Audit meet monthly with Wales Audit Office to understand and respond to their concerns.
3. Regulators sit on Service Performance Challenges now.
4. Reserch & Intelligence team creates Needs & Demands, and Comparative reports to support service self assessment and Service Performance Challenges.
5. Annual Governance Statement and Performance Self Assessment now combined.

Residual Risk



Further Actions

Lead Member(s)

Councillor Hugh Evans

Active

Yes

Risk Owner

Hywyn Williams

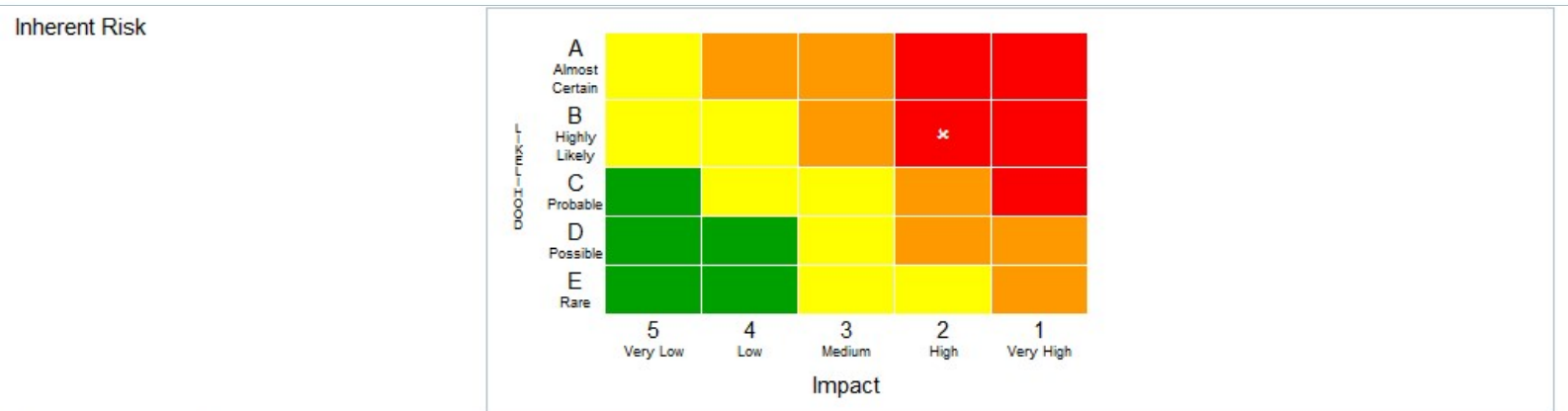
00013 The risk of significant financial and reputational liabilities resulting from management of some Council-Funded Service Providers

Description

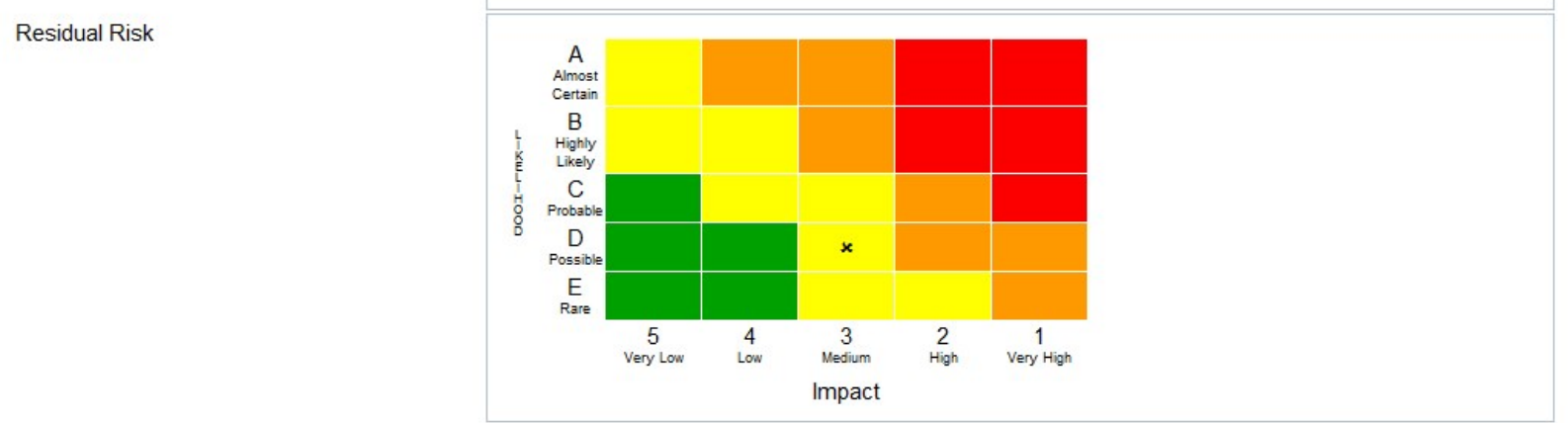
Liabilities could arise due to financial, HR, safeguarding, or general management problems

Impact / Consequences

1. Capital liabilities.
2. Property Liabilities.
3. Reduction in levels of service provided to the community, or increased revenue costs to continue delivery.
4. Reputation damage to the council



- Controls to Manage Risk (in place)**
1. Council is entitled to representation on Boards, and Heads of Service providing strategic advice to facilities.
 2. Heads of Service advise DCC on any emerging issues and risks.
 3. Financial support and/or subsidies being provided.
 4. Processes are in place to manage relationships between DCC and Arm's Length organisations.
 5. Intervention measures are exercised by DCC if relationships with Arm's Length organisations are difficult to manage.
 6. Resources have been committed to improve financial monitoring of facilities.



Further Actions

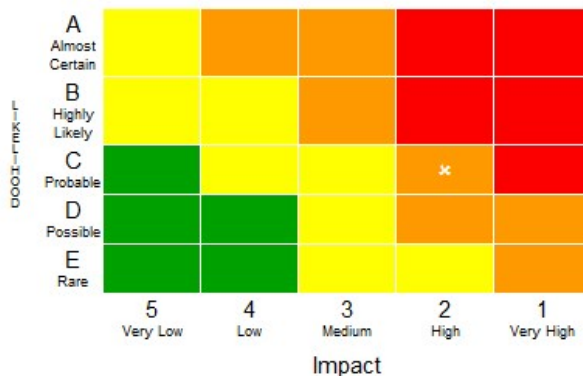
00042 Develop a robust framework to monitor governance arrangements for Council Funded Service Providers

Description	Incorporating recommendations from Clwyd Leisure Lessons Learned report
Action Due Date	30/06/2015
Person Responsible	Ivan Butler
Lead Member(s)	Councillor Huw Jones
Active	Yes
Risk Owner	Hywyn Williams

00014 The risk of a health & safety incident resulting in serious injury or the loss of life.

Description	This could be as a result of an ineffective H&S management system; inadequate fire control systems (infrastructure); or inadequate fire management planning.
Impact / Consequences	<ol style="list-style-type: none"> 1. Serious injury or death of an employee and/or member of public. 2. Significant reputational damage 3. Substantial legal/compensation costs. 4. Criminal prosecution of senior staff.

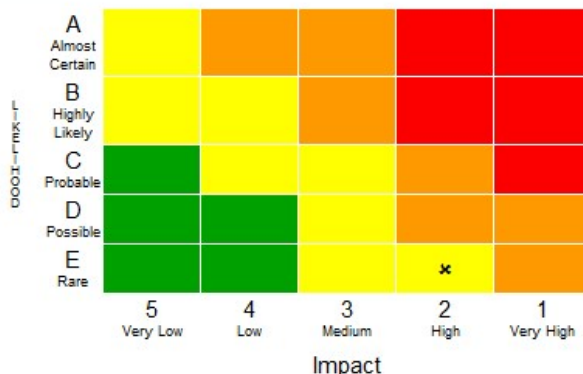
Inherent Risk



Controls to Manage Risk (in place)

1. New H&S Committee established with representation from each service, plus meetings established with groups of services.
2. Strategic leadership provided by Corporate Director with responsibility for health and safety.
3. Improved efficiency through on-line & phone line incident reporting.
4. Council has existing Health and Safety Management System(s).
5. All DCC teams to identify their activities, consider the hazards associated with the work, describe how the risks are managed and then analyse any gaps in how they are managing the risks. These self analyses are now being monitored by the CH&S team who are going out into the workplaces and providing support, guidance and feedback where it is needed.
6. The H&S training program focuses on in-house provision that is targeted at DCC activities.
7. Strong Leadership process developed and in place across the organisation.
8. Links developed with Property Services to manage property-related fire risks
9. Middle managers have developed robust fire management system controls.
10. In-house training and meetings established to embed good practise.
11. Guidance and assistance provided to managers responsible for developing fire management systems.

Residual Risk



Further Actions

Lead Member(s)

Councillor Julian Thompson-Hill

Active

Yes

Risk Owner

Hywyn Williams

00016 The risk that the impact of welfare reforms is more significant than anticipated by the council.

Description

Welfare reform has potentially significant implications for a large proportion of residents, and also on the council in terms of increased demand for services and reduced income. The actual impact is difficult to predict.

Impact / Consequences

1. Potential increase in demand for services: e.g. homelessness and homelessness prevention services; housing (especially for stock which is currently scarce); benefits support / advice, etc.
2. Reduced income from rents and council tax payments with reduced cash flow and an increase in bad debt for the authority.
3. Increased recovery action and administrative costs.
4. Increase in tenants' rents.
5. Also an impact to Social Services due to Disability Living Allowance changes.
6. This could also impact on our ability to deliver our Corporate Priorities

<p>Inherent Risk</p>	
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<p>Controls to Manage Risk (in place)</p>	<p>DCC Welfare Reform Group has been meeting since April 2012. This group is cross service (including Registered Social Landlords) to ensure that information is shared and pressures identified to support our customers. Chair of Corporate Governance sits on the Welfare Reform Group</p>
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<p>Residual Risk</p>	
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Further Actions

00018 Establish Corp Governance reporting arrangements	
Description	Set up reporting arrangements for the group to keep Corporate Governance informed of its progress
Action Due Date	30/09/2013
Lead Member(s)	Councillor Hugh Irving
Active	Yes
Risk Owner	Paul McGrady

00017 The risk that the ICT framework does not meet the organisation's needs

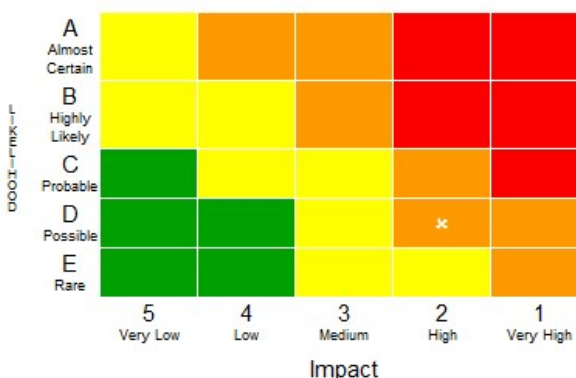
Description	If we do not invest in appropriate technology at the right price, this will hinder our capability to deliver the efficiencies and savings required for the Modernisation priority. The main risk here is around the organisation taking decisions to invest in IT infrastructure that is not effective in reducing overheads. This can happen for two reasons: 1) we don't understand the current and necessary ICT requirements, and 2) we don't accurately predict the anticipated benefits. This risk might also occur as a missed opportunity to capitalise on effective technology, if we unaware of the capability that some technology can bring.
Impact / Consequences	If we decide to invest in technology that does not sufficiently contribute to our Modernisation agenda, not only are we likely to miss our targets, but we're also going to waste money

<p>Inherent Risk</p>	
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Controls to Manage Risk (in place)

1. All decisions for investment taken at Capital Investment Strategy group.
2. ICT Strategy offers a mechanism for estimating net savings that investments can make.
3. ICT now part of the Business Improvement & Modernisation service, and structures have been realigned to provide a more customer-focussed service.
4. ICT Business Partners work with services to forecast their ICT needs.
5. Hardware rollout complete.
6. Telephony strategy developed.

Residual Risk



Further Actions

00035 New telephony system to be rolled out

Action Due Date

31/12/2015

Person Responsible

Alan Smith

00036 Migration to MS Enterprise to be completed

Action Due Date

30/09/2015

Person Responsible

Alan Smith

00054 Business Support Review phase 1: bringing PARIS and Open Housing into ICT Structure

Action Due Date

31/07/2015

Person Responsible

Alan Smith

00055 Business Support Review Phase 2: Review all service-based ICT systems and maintenance agreements

Action Due Date

31/03/2016

00056 Phase 2 of the ICT Strategy to be completed

Action Due Date

31/07/2015

Person Responsible

Alan Smith

Lead Member(s)

Councillor Barbara Smith

Active

Yes

Risk Owner

Mohammed Mehmet

00018 The risk that programme and project benefits are not fully realised.

Description

The council currently does not consistently deliver all benefits from projects. Some of the issues include: inconsistent management; resistance to change; staff behaviour and processes not changing as planned. Programmes to be mindful of include: Economic & Community Ambition; Modernisation; Social Services Modernisation; Modernising Education; Coastal Facilities, and Rhyl Going Forward.

Impact / Consequences

The forecast changes that were alluded to in business cases do not materialise and, hence, neither do their benefits

<p>Inherent Risk</p>	
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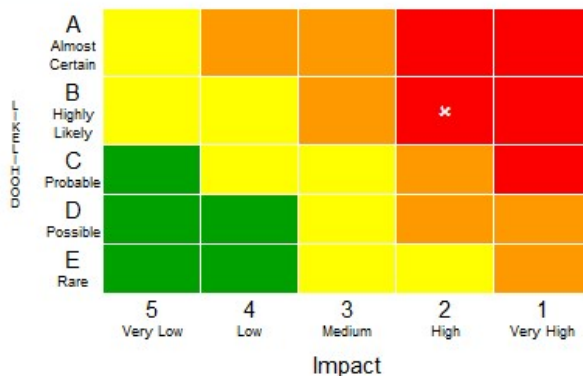
<p>Controls to Manage Risk (in place)</p>	<ol style="list-style-type: none"> 1. Corporate Programme Office established. 2. HR resource identified to support restructures. 3. Business Partners involved in workforce planning have identified a need for Change management training, and resource has been identified to support this. 4. Programme Manager in place for the Modernisation priority (to co-ordinate projects). 5. Modernisation Board can track projects and their benefits. 6. Introduction of Verto to record benefit tracking. 7. Change toolkits, together with factsheets, are on the intranet to support managers. 8. Business Partners are now allocated to every major change to ensure consistency. 9. Finance remove savings from budgets to ensure financial savings are delivered. 10. Denbighshire Way Change Management Guidance has been developed 11. CET reviews key projects every three months
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<p>Residual Risk</p>	
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<p>Further Actions</p>	
<p>Lead Member(s)</p>	Councillor Barbara Smith
<p>Active</p>	Yes
<p>Risk Owner</p>	Mohammed Mehmet

<p>00019 The risk that the availability of the Welsh Government's match-funding contribution towards Band A of the 21st Century Schools programme is not in line with the timescales for Denbighshire's work programme.</p>	
<p>Description</p>	<p>Projects within the 21st Century Schools Programme are planned throughout the financial year, but rely on match-funding being available from the Welsh Government. If the WG's financial contribution is not received as anticipated, it can affect the timing of each project, which can impact on the overall programme.</p>
<p>Impact / Consequences</p>	<p>Delays in delivering the 21st Century Schools Programme.</p>

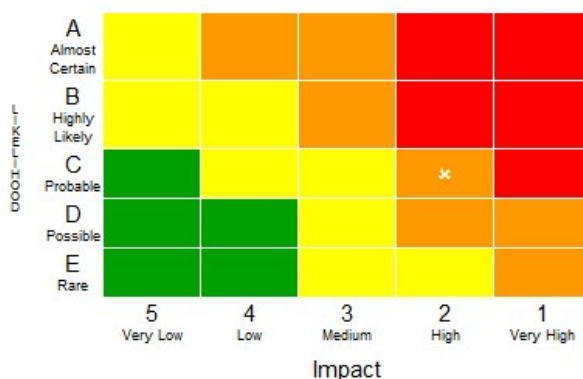
Inherent Risk



Controls to Manage Risk (in place)

1. Regular liaison with WG and Strategic Investment Group to review progress of individual projects and the overall financial management of the longterm programme to identify potential risks in funding profiles.
2. Establishment of a formal programme approach to manage the delivery of the 21st Century Schools programme.
3. Projects over £5m in value are scoped out according to the Welsh Government's five case business model at development stage.
4. Project at £5m or less are scoped out inline with the Welsh Government's business justification case documentation.
5. Modernising Education Programme Board established, to meet monthly in the short term.
6. SRO, Head of Service and Programme Manager have arranged for regular gateway review support from WG's Programme and Projects department (independent from WG's 21st Century Schools team)

Residual Risk



Lead Member(s)

Councillor Eryl Williams

Active

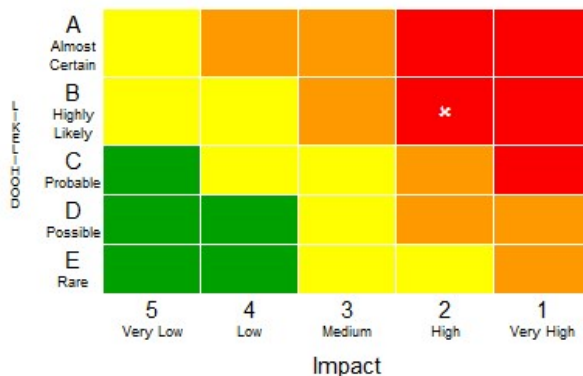
Yes

00021 The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC

Impact / Consequences

1. Inefficient services
2. Gaps in service provision
3. Delays/failure to deliver joint projects
4. Reputational damage
5. Ability to meet new statutory duties - Wellbeing of Future Generations Bill, Social Services and Wellbeing Act

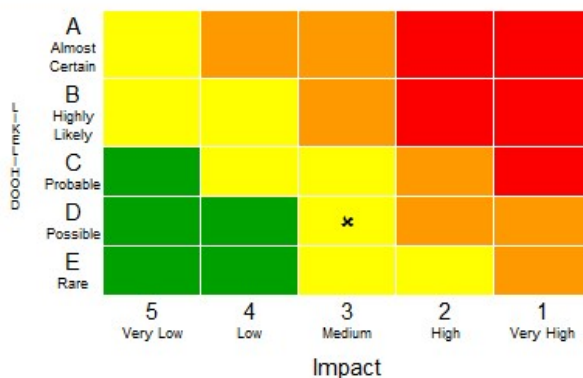
Inherent Risk



Controls to Manage Risk (in place)

1. Establishment of a Denbighshire Health and Social Care Board, of which BCU is a member.
2. DCC presence in Key CPG meetings and Regional Boards looking at implementing integrated new approaches.
3. New Chief Executive of BCUHB appointed.
4. Denbighshire Joint Locality Forum established.
5. Nicola Stubbins appointed Associate Member of BCUHB Board
6. Cllr Bobby Feeley appointed Independent Member (Local Authority) of BCUHB Board
7. NWWASIC has reviewed its governance arrangements in partnership with BCUHB. Establishment of a 'Leadership Forum', chaired by Wrexham LA Chief Executive, attended by senior management of BCUHB and Directors of Social Services
8. BCUHB Area Director has been appointed - will start after Easter
9. Locality Structure is bedding in, the Denbighshire Health and Social Care Board to be reviewed.

Residual Risk



Further Actions

Lead Member(s)

Councillor Bobby Feeley

Active

Yes

Risk Owner

Nicola Stubbins

00027 The risk that the decisions that are necessary to enable the delivery of a balanced budget are not taken or implemented quickly enough

Description

As our settlement reduces, we need to develop and gain approval for plans as to where to stop spending in our budget. Any plans require the approval of Council, and must be implemented in a timely manner that complies with legislation. While the Freedoms and Flexibilities process has been successful to date there is still a substantial saving to be made by the Local Authority in 2016-2017.

Impact / Consequences

Denbighshire overspends on its budget

<p>Inherent Risk</p>	
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<p>Controls to Manage Risk (in place)</p>	<ol style="list-style-type: none"> 1. Freedom & Flexibilities programme involves Members, so they understand that difficult decisions are necessary, and they are involved with developing the proposals. This should make them more likely to support the recommendations made.
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<p>Residual Risk</p>	
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<p>Further Actions</p>	
<p>Lead Member(s)</p>	<p>CIlr Hugh Evans</p>
<p>Active</p>	<p>Yes</p>
<p>Risk Owner</p>	<p>Mohammed Mehmet</p>

00028 The risk that the services that we scale back have a greater negative impact than we anticipated

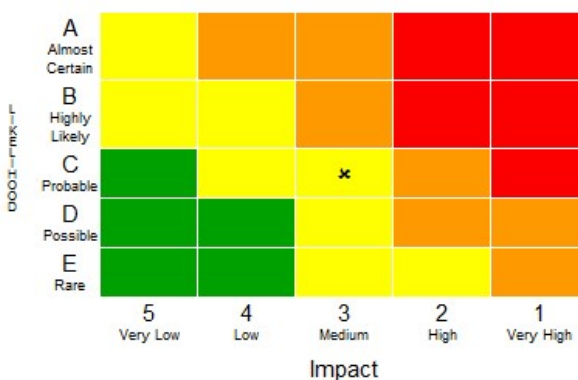
<p>Description</p>	<p>When deciding where to make budget cuts, we endeavour to ensure the quality of key services. There is a risk that we haven't identified the correct services as being 'key', and/or that the changes we make are more disruptive than we anticipated</p>
<p>Impact / Consequences</p>	<ol style="list-style-type: none"> 1. Services that are important for our residents are no longer available 2. Performance in important areas of our business (for our residents) deteriorates 3. Reinstatement/correction in performance is difficult and slow to achieve 4. Reputation can suffer if performance deteriorates 5. Reputation can suffer if messages are not managed

<p>Inherent Risk</p>	
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Controls to Manage Risk (in place)

1. Impact Assessments are undertaken
2. Cover reports for all decisions ask for risks and benefits to be articulated

Residual Risk



Further Actions

00057 A Scrutiny Task and Finish Group to be established to monitor impacts

Action Due Date

30/09/2015

Person Responsible

Gary Williams

Lead Member(s)

Cllr Hugh Evans

Active

Yes

Risk Owner

Mohammed Mehmet

00029 Risk of successful challenge that we are illegally depriving people of their liberty

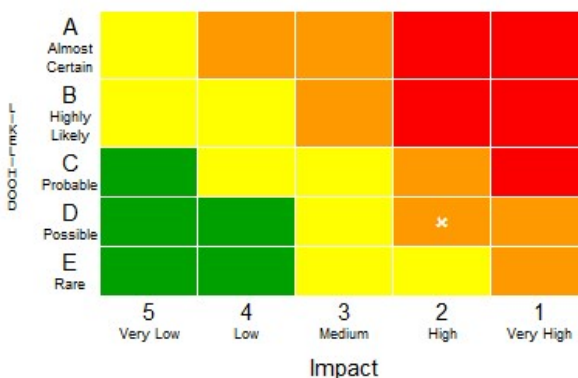
Description

There is the potential for future legal challenges following the recent Supreme Court ruling on deprivation of liberty. The court ruled that all people who lack the capacity to make decisions about their care and residence and, under the responsibility of the state, are subject to continuous supervision and control and lack the option to leave their care setting are deprived of their liberty. The ruling overturned previous judgements that had defined deprivation of liberty more restrictively. This means that many people are likely to have been deprived of their liberty unlawfully and without safeguards in settings including care homes and supported living placements. This is likely to result in a significant increase in DOLS case numbers regarding care home placements, and also applications to the Court of Protection to authorise deprivations of liberty in supported living. We are already beginning to see the impact of the ruling in Denbighshire.

Impact / Consequences

Legal challenge, reputational damage, financial costs

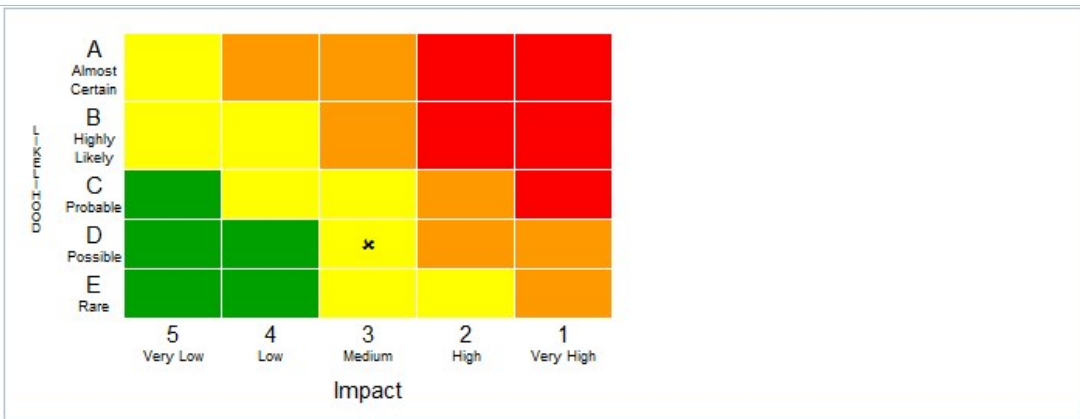
Inherent Risk



Controls to Manage Risk (in place)

- 1 Every provider has received a letter describing our pragmatic approach
- 2 Five more staff members have been trained as Best Interest Assessors
- 3 Increased signatory capacity
- 4 The 'Cheshire West ruling' is being challenged and we are keeping a watching brief
- 2 Five more staff members have been trained as Best Interest Assessors; a further group is about to start training
- 3 Increased signatory capacity

Residual Risk



Further Actions

00044 Reviewing

Description	Continue to assess everyone in residential care that we need to
Action Due Date	31/03/2016
Person Responsible	Phil Gilroy

00045 Training

Description	Further Best Interest Assessor Training to be delivered.
Action Due Date	31/12/2015
Person Responsible	Nicola Stubbins & Phil Gilroy
Lead Member(s)	Bobby Feeley
Active	Yes
Risk Owner	Nicola Stubbins